

FEDERAL REGISTER

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Washington, Saturday, February 13, 1937

DEPARTMENT OF AGRICULTURE.

Bureau of Animal Industry.

[Amendment 10 to B. A. I. Order 350]

REGULATIONS GOVERNING THE RECOGNITION OF BREEDS AND PUREBRED ANIMALS

AMENDING REGULATION 2, SECTION 3, PARAGRAPH 1, RECOGNIZING BREEDS AND BOOKS OF RECORD ACROSS THE SEAS

Effective on and after February 11, 1937

Regulation 2, section 3, paragraph 1, of the regulations governing the recognition of breeds and purebred animals, effective under date of July 1, 1935, and identified as B. A. I. Order 350, is hereby amended so as to include and recognize for the purposes enumerated thereunder the following breed and book of record:

Dogs

Name of breed	Book of record	By whom published
Boxer.....	Boxer-Zuchtbuch.....	Fachschaft für Deutsche Boxer, Max Haunstetter, Registrar, Osterwaldstrasse 6 b, München 23, Germany.

Done at Washington this 11th day of February 1937. Witness my hand and the seal of the Department of Agriculture.

[SEAL]

H. A. WALLACE,
Secretary of Agriculture.

[F. R. Doc. 37-447; Filed, February 11, 1937; 2:16 p.m.]

DEPARTMENT OF COMMERCE.

Bureau of Marine Inspection and Navigation.

ORDER

EXTENDING THE TIME TO MARCH 25, 1937, FOR THE FURNISHING TO SEAMEN OF CERTIFICATES OF SERVICE AND EFFICIENCY

FEBRUARY 4, 1937.

With reference to furnishing certificates of service and efficiency to seamen, as provided for in Section 1 of the Act of June 25, 1936 (Pub., 808, 49 Stat. 1930), amending Section 13 of the Seaman's Act of March 4, 1915, and to the Order of the Secretary of Commerce of January 11, 1937,¹ I find that it is impracticable on the part of the Department of Commerce to furnish the certificates by the date fixed in said order (February 20, 1937) and by virtue of the authority contained in Section 1 (L) of said Act, I hereby further

extend the effective date of Section 1 for the furnishing of these certificates to March 25, 1937.

[SEAL]

DANIEL C. ROPER,
Secretary of Commerce.

[F. R. Doc. 37-448; Filed, February 12, 1937; 12:18 p.m.]

FEDERAL DEPOSIT INSURANCE CORPORATION.

CORRECTION

Paragraph (2) of subsection (b) of Section 2 of "Regulation IV—Relating to the Payment of Deposits and Interest Thereon by Insured Nonmember Banks" (F. R. Doc. 37-446; Filed, February 11, 1937; 12:55 p. m.), appearing on page 371 of the FEDERAL REGISTER for Friday, February 12, 1937, should read as follows:

(2) Payment of interest accruing before August 24, 1937, on any deposit of public funds made by or on behalf of any State, county, school district, or other subdivision or municipality, or on any deposit of trust funds, if the payment of interest with respect to such deposit of public funds or of trust funds is required by State law when such deposits are made in State banks;

SECURITIES AND EXCHANGE COMMISSION.

SECURITIES ACT OF 1933

AMENDMENT TO FORM E-1

The Securities and Exchange Commission acting pursuant to authority conferred upon it by the Securities Act of 1933, as amended, particularly Sections 7 and 19 (a) thereof, and finding that any information or documents specified in Schedule A of the Securities Act of 1933, as amended, which Form E-1, and the rules and instructions accompanying that form, as hereby amended, do not require to be set forth, are inapplicable to the class of securities to which such form is appropriate, and that disclosure fully adequate for the protection of investors is otherwise required to be included in the registration statement, and that such information and documents as Form E-1 and the rules and instructions accompanying that form, as hereby amended, require to be set forth, but which are not specified in Schedule A, are necessary and appropriate in the public interest and for the protection of investors, hereby amends Form E-1 and the rules and instructions accompanying that form, as follows:

Under the caption "Exhibits" in Form E-1 there is added immediately after the paragraph headed "Exhibit X" the caption "Special instructions as to financial statements." There is also added immediately after the paragraph following such new caption the following new matter:

Bank Holding Companies

1. The term "bank" as used in paragraphs 2 and 3 below shall be deemed to include any association or corporation

¹ 2 F. R. 100.



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organized under the laws of the United States, any State or Territory of the United States or the District of Columbia, a substantial portion of the business of which consists of receiving deposits or exercising fiduciary powers similar to those permitted to national banks under Section 11 (k) of the Federal Reserve Act, as amended, and which is supervised and examined by State or Federal authorities, having supervision of banks.

2. The term "bank holding company" as used in paragraph 3 below means a person which is engaged, either directly or through subsidiaries, primarily in the business of owning securities of banks for the purpose and with the effect of exercising control, and does not have any other substantial business either directly or through subsidiaries.

3. Notwithstanding any provisions to the contrary in the foregoing requirements as to exhibits or in items 44 through 51 when a registration statement on Form E-1 is filed by a bank holding company, the special requirements set forth below shall be applied:

(a) Statements of any bank subsidiary need not be certified, and are not to be consolidated with statements of the registrant.

(b) The statements of one or more bank subsidiaries may be combined in a single statement, provided such statement shows the minority interest separately and eliminates any interbank items within the group.

Statements of bank subsidiaries shall be prepared from and in substantially the same form as the "Reports of Condition" and the "Reports of Earnings and Dividends"

prescribed by the Comptroller of the Currency or the Federal Reserve Board or the Federal Deposit Insurance Corporation. Such statements shall be accompanied by the schedules called for in such "Reports of Condition" except Schedules A, B, C, and D. The schedules required by this form in support of required financial statements as to unconsolidated subsidiaries need not be furnished as to any bank subsidiary. In case any bank subsidiary did not report to one of such Federal authorities, the financial data concerning such subsidiary shall be drawn from the reports required by its respective State authority, and shall be commensurate with that required concerning a subsidiary that does report to one of such Federal authorities. Each statement as to a bank subsidiary and each combined statement as to such subsidiaries shall be supplemented by an attached statement showing the following:

(1) Book value, and market or, if there is no market, appraised value, separately stated, of: (i) direct obligations of, and obligations fully guaranteed by, the United States Government; (ii) investments in affiliated companies principally engaged in holding banking premises or other real estate; and (iii) other bonds, stocks and securities.

(2) Estimated losses not elsewhere provided for on the following bank assets, separately stated: (i) loans and discounts; (ii) bank premises; (iii) other real estate; (iv) other assets.

(3) The total of loans and other advances to officers and directors of the registrant and security holders named in answer to Item 16 of the registration statement.

(c) No statements need be filed for unconsolidated subsidiaries of the registrant (other than bank subsidiaries) when the aggregate investments not consolidated are not significant in respect of (1) the assets they represent, and (2) the sales or operating revenues of such non-consolidated subsidiaries.

(d) The special requirements set forth in paragraphs (a), (b), and (c) above shall also be applied with respect to financial statements required for any bank or bank holding company the securities or business and assets of which have been or are to be acquired.

(e) In lieu of any schedule with respect to investments in affiliates otherwise required in support of the balance sheet of a bank holding company, there shall be furnished the two following schedules:

[See Schedules I (a) and I (b).¹]

By the Commission.

[SEAL]

FRANCIS P. BRASSOR, Secretary.

[F. R. Doc. 37-449; Filed, February 12, 1937; 12:33 p. m.]

United States of America—Before the Securities and Exchange Commission

At a regular session of the Securities and Exchange Commission, held at its office in the City of Washington, D. C., on the 10th day of February A. D. 1937.

[File No. 2-2777]

IN THE MATTER OF REGISTRATION STATEMENT OF UNDERWRITERS GROUP, INCORPORATED

ORDER CANCELING HEARING UNDER SECTION 8 (D) OF SECURITIES ACT OF 1933, AS AMENDED

The Commission having heretofore, on February 1, 1937,² designated John H. Small, an officer of the Commission, to take testimony at a hearing to be held in this matter under Section 8 (d) of the Securities Act of 1933, as amended, in Room 1101, Securities and Exchange Commission Building,

¹ Filed with the Division of the Federal Register; copies available upon application to the Securities and Exchange Commission.

² 2 F. R. 283.

1778 Pennsylvania Avenue NW., Washington, D. C., on February 11, 1937, at 11 o'clock in the forenoon, and

The Commission having this day, at the request of the registrant, by order under Section 8 (b) of the said Act refused to permit the registration statement to become effective,

It is ordered that the said hearing is hereby canceled.
By the Commission.

[SEAL] FRANCIS P. BRASSOR, Secretary.

[F. R. Doc. 37-450; Filed, February 12, 1937; 12:33 p. m.]

United States of America—Before the Securities and Exchange Commission

At a regular session of the Securities and Exchange Commission held at its office in the City of Washington, D. C., on the 11th day of February A. D. 1937.

IN THE MATTER OF AN OFFERING SHEET OF A ROYALTY INTEREST IN THE SLICK-URSCHEL-Owen FARM, FILED ON DECEMBER 21, 1936, BY JAMES M. JOHNSON, RESPONDENT

CONSENT TO WITHDRAWAL OF FILING OF OFFERING SHEET AND ORDER TERMINATING PROCEEDING

The Securities and Exchange Commission, having been informed by the respondent that no sales of any of the interests covered by the offering sheet described in the title hereof have been made, and finding, upon the basis of such information, that the withdrawal of the filing of the said offering sheet, requested by such respondent, will be consistent with the public interest and the protection of investors, consents to the withdrawal of such filing but not to the removal of the said offering sheet, or any papers with reference thereto, from the files of the Commission; and

It is ordered that the Suspension Order, Order for Hearing and Order Designating a Trial Examiner, heretofore entered in this proceeding,¹ be and the same are hereby revoked and the said proceeding terminated.

By the Commission.

[SEAL] FRANCIS P. BRASSOR, Secretary.

[F. R. Doc. 37-453; Filed, February 12, 1937; 12:34 p. m.]

United States of America—Before the Securities and Exchange Commission

At a regular session of the Securities and Exchange Commission held at its office in the City of Washington, D. C., on the 11th day of February A. D. 1937.

IN THE MATTER OF AN OFFERING SHEET OF A WORKING INTEREST IN THE RAY STEPHENS-GRIFFIN FARM, FILED ON JANUARY 21, 1937, BY RAY STEPHENS, INC., RESPONDENT

ORDER FOR CONTINUANCE

The Securities and Exchange Commission, having been requested by its counsel for a continuance of the hearing in the above entitled matter,² which was last set to be heard at 10:00 o'clock in the forenoon on the 11th day of February 1937 at the office of the Securities and Exchange Commission, 18th Street and Pennsylvania Avenue, Washington, D. C., and it appearing proper to grant the request;

It is ordered, pursuant to Rule VI of the Commission's Rules of Practice under the Securities Act of 1933, as

amended, that the said hearing be continued to 11:00 o'clock in the forenoon on the 26th day of February 1937 at the same place and before the same trial examiner.

By the Commission.

[SEAL] FRANCIS P. BRASSOR, Secretary.

[F. R. Doc. 37-452; Filed, February 12, 1937; 12:34 p. m.]

United States of America—Before the Securities and Exchange Commission

At a regular session of the Securities and Exchange Commission held at its office in the City of Washington, D. C., on the 11th day of February A. D. 1937.

IN THE MATTER OF AN OFFERING SHEET OF A WORKING INTEREST IN THE RAY STEPHENS-MELTON FARM, FILED ON JANUARY 21, 1937, BY RAY STEPHENS, INC., RESPONDENT

ORDER FOR CONTINUANCE

The Securities and Exchange Commission, having been requested by its counsel for a continuance of the hearing in the above entitled matter,¹ which was last set to be heard at 10:00 o'clock in the forenoon on the 11th day of February 1937 at the office of the Securities and Exchange Commission, 18th Street and Pennsylvania Avenue, Washington, D. C., and it appearing proper to grant the request;

It is ordered, pursuant to Rule VI of the Commission's Rules of Practice under the Securities Act of 1933, as amended, that the said hearing be continued to 11:00 o'clock in the forenoon on the 26th day of February 1937 at the same place and before the same trial examiner.

By the Commission.

[SEAL] FRANCIS P. BRASSOR, Secretary.

[F. R. Doc. 37-451; Filed, February 12, 1937; 12:33 p. m.]

United States of America—Before the Securities and Exchange Commission

At a regular session of the Securities and Exchange Commission held at its office in the City of Washington, D. C., on the 11th day of February A. D. 1937.

IN THE MATTER OF AN OFFERING SHEET OF A ROYALTY INTEREST IN THE MILLS BENNETT ET AL BASSINGER FARM, FILED ON JANUARY 22, 1937, BY SUPREME OIL, INC., RESPONDENT

ORDER FOR CONTINUANCE

The Securities and Exchange Commission, having been requested by its counsel for a continuance of the hearing in the above entitled matter,² which was last set to be heard at 10:30 o'clock in the forenoon on the 11th day of February 1937 at the office of the Securities and Exchange Commission, 18th Street and Pennsylvania Avenue, Washington, D. C., and it appearing proper to grant the request;

It is ordered, pursuant to Rule VI of the Commission's Rules of Practice under the Securities Act of 1933, as amended, that the said hearing be continued to 11:30 o'clock in the forenoon on the 26th day of February 1937 at the same place and before the same trial examiner.

By the Commission.

[SEAL] FRANCIS P. BRASSOR, Secretary.

[F. R. Doc. 37-454; Filed, February 12, 1937; 12:34 p. m.]

¹ 1 F. R. 2575.

² 2 F. R. 261.

¹ 2 F. R. 261.

² 2 F. R. 271.

